

# EVIDENCE

Law 222 (R03)

**George Mason University**

**Antonin Scalia Law School**

Mondays & Wednesdays

6:05 p.m. – 7:30 p.m.

Spring 2024

Irwin R. Kramer

[ikramer@gmu.edu](mailto:ikramer@gmu.edu)

**OFFICE HOURS:** Taking questions after class. Otherwise, by appointment via Zoom

[<https://us02web.zoom.us/j/4434739938>].

**Course Description:** Examines legal rules governing the proof of disputed issues of fact in adversary proceedings. The emphasis is on rules determining the admissibility of various types of evidence, including testimonial evidence, hearsay rules and impeachment of witnesses, documentary evidence, and scientific and expert evidence. The course also considers judicial notice as a substitute for evidence, burdens of proof, and the effect of jury trials on rules of evidence.

## **Course Materials:**

1. Capra & Saltzburg, Principles of Evidence, 9th Edition (West Academic Publishing 2022); ISBN: 9781636594606;
2. Capra, Federal Rules of Evidence, with Faigman Evidence Map, 2023-2024 Edition (West Academic Publishing 2023); ISBN: 9781647084936. **A printed copy of this paperback supplement is required for the course.**

**Learning Outcomes:** Unlike many fields of law, Evidence Law requires fast application without the luxury of prior research or the opportunity to consult a rule book in the heat of battle. Like a seasoned athlete, we must train every day to develop the "muscle memory" to employ these rules at the speed of trial. So while this course may entail less traditional reading than courses centered on the meticulous analysis of judicial opinions, we will flex our evidentiary muscle in exercises which test our knowledge in a multitude of scenarios. With proper class preparation and active participation, you will leave this class:

- Appreciating the strategic chess match confronting all trial lawyers in a battle of wits over the admissibility of various types of evidence.
- Learning how to overcome problems of proof to carry your evidentiary burden at trial.
- Able to spot evidentiary issues in the time it takes to yell, "Objection!"

You may not plan to go into litigation. Neither do many clients. But to protect them effectively *outside* of court, you must do so in a manner that will ultimately hold up *inside* the courtroom. Unlike trial lawyers, who cannot fabricate favorable evidence to prove their clients' cases, lawyers representing them long before disputes arise play a vital role in documenting the evidence needed to win at trial. That's why lawyers at all stages must learn the Law of Evidence.

**Problem-Oriented Assignments:** Beyond reading assignments, we will apply the rules through several exercises in class and at home. This course emphasizes exercises in class and in applying the rules to a range of challenging situations. Having tried several cases via Zoom, I will use this platform to simulate the courtroom and expect you to object as necessary augmented by several CALI exercises assigned as homework.

**Class Attendance & Participation:** This is an interactive course. Your active and consistent participation is critical to the quality of our learning experience. Having eliminated the need to travel for class, I expect everyone to log into every single class with cameras on by the time we start. While there may still be occasions where this is not possible, students with more than four unexcused absences (or more than six regardless of the reason), will not be permitted to complete the course. Just as trial lawyers must show up to court prepared, on time and ready to participate, I expect the same from you. If you do not believe you can meet this expectation, please do not register for this class.

#### **Grading:**

<b>Grading Component</b>	<b>Percentage</b>
Final Examination	100%* [3-hour closed book; multiple choice questions in format of the Multistate Bar Examination]
*Class Preparation & Participation	Much depends upon exam performance. But those who contribute to the quality of our class may merit an increase in the quality of their grades. At the instructor's discretion, students demonstrating strong preparation, class performance and timely/thorough completion of <i>all</i> assignments are eligible for a half-step increase to the next highest grade.

**Class Recording Policy:** Classes will be recorded on Zoom and posted to TWEN. Any materials projected in class will likewise be available on the video. In return, students agree not to post this material on other platforms where the instructor's embarrassing antics may go viral. Students must recognize that technical difficulties may lead to issues with recordings.

**Course Web Site:** This course has a TWEN page that links to this syllabus, announcements, assignments, CALI exercises and materials. You are responsible for self-enrolling in the TWEN page and for checking it regularly.

**Course Outline:** Using this outline, which I may modify with advanced notice, I will post assignments each Thursday for the following week. When referring to portions of the textbook, this outline shows page numbers on which a specified topic *starts*. Unless instructed to stop at a given page or line, your reading assignment will include all pages corresponding with that topic. Where applicable, line numbers are placed in [brackets] following the page number. In addition to the textbook and any materials posted on our TWEN page, you must read the rules cited.

## Evidence and The Trial Process

Introduction: Why Do We Need Rules of Evidence? .....	1-4
The Adversary System .....	5
Phases of a Trial .....	6
Preserving Evidentiary Issues for Appeal .....	7
❖ Offers of Proof & Objections [FRE 103] .....	7-11[14]
Role of Judge and Jury: An Overview [FRE 104(a)] .....	25[3]
Shortcuts to Proof: Judicial Notice and Other Devices .....	29
❖ Judicial Notice .....	29
❖ When Judicial Notice Is Conclusive .....	30
❖ Legislative Facts .....	31-32
Burdens and Presumptions .....	413-423

## Relevance

What's "Relevant"? [FRE 401] .....	33
Excluding Relevant Evidence: A Balancing Test [FRE 403] .....	40-48[14]
Conditional Relevancy [FRE 104(b)] .....	61
Direct and Circumstantial Evidence .....	68
Types of Relevant Evidence:	
❖ Character Evidence .....	69
○ Directly at Issue [FRE 405(b)] .....	72
○ As Circumstantial Evidence [FRE 404(a)] .....	75
❖ Habit Evidence [FRE 406] .....	89
❖ Prior Bad Acts [FRE 404(b)] .....	92
○ Prejudicial Effect Concerns .....	103
○ Victim's Reputation, Threats and Past Misconduct in Self-Defense Cases .....	108
○ Sexual Misconduct [FRE 412-FRE415] .....	109
Special Applications Driven by Social Policy .....	121
❖ Subsequent Remedial Measures [FRE 407] .....	122
❖ Compromise and Offers to Compromise [FRE 408] .....	130
❖ Payment of Medical Expenses [FRE409] .....	136
❖ Pleas and Related Statements [FRE 410] .....	136
❖ Liability Insurance [FRE 411] .....	143-145

## **Real and Demonstrative Evidence**

Authentication [FRE 901, 902] .....	51[14]
Demonstrative Evidence to Illustrate Points .....	61[13-29]
The Best Evidence Rule [FRE 1001-1008] .....	441-447
<b>CALI EXERCISE: <a href="#">Best Evidence Rule Under the Federal Rules</a></b>	

## **Testimonial Evidence**

Examining Witnesses [FRE 611] .....	11[15]
❖ Scope of Cross-Examination [FRE 611(b)] .....	16-18[7]
❖ Refreshing Recollection [FRE 612] .....	20[36]-25[2]
Qualifications to Testify	
❖ Personal Knowledge [FRE 602] .....	48-51[13]
o The Lay Opinion Rule [FRE 701] .....	18[8]-20[35]
❖ Competency [FRE 601] .....	301
o Limits on Juror Testimony About Deliberations .....	303-304[37]

## **Impeaching Witnesses**

In General .....	304[38]
❖ Types of Impeachment .....	305
❖ Impeaching One's Own Witness [FRE 607] .....	306
Methods .....	307
❖ Criminal Convictions [FRE 609] .....	307
❖ Prior Bad Acts [FRE 608(b)] .....	318
❖ Character Testimony [FRE 608(a)] .....	322[38]
❖ Bias [FRE 608(b)] .....	324[31]
❖ Prior Inconsistent Statements [FRE 613] .....	327[11]
❖ Contradiction .....	331[11]
❖ Sensory Impairments .....	333[21]
❖ Psychiatric Condition .....	334[3-21]
Rehabilitating Witnesses [FRE 608(a)] .....	336[9]-339

<b>CALI EXERCISE: <a href="#">Mud Slinging at Trial</a></b>	
---	--

## **Expert Testimony**

Role and Qualifications of the Expert Witness [FRE 702] .....	385
Scientific Principles [Background of the Current Rule] .....	387
❖ The <i>Frye</i> Test .....	388
❖ The <i>Daubert</i> Test of Reliable Methodology .....	389
❖ The Trial Judge's Role as Gatekeeper .....	390-393[27]
The Expert Witness: Sources of Knowledge and Direct Examination [FRE 703, 705] .....	393[28]
❖ Hypothetical Questions .....	398
❖ Leaving the Expert's Basis to Cross-Examination .....	399
❖ Disclosure to the Jury of Inadmissible Facts Relied on by the Expert .....	400
Cross-Examination and Impeachment .....	401
Scientific and Technical Evidence: A Sample .....	403
❖ Polygraph Tests .....	404
❖ Syndrome Testimony: Battered Woman Syndrome .....	405
❖ A Note on Mathematical Evidence .....	407-411
<b>CALI EXERCISE:</b> <a href="#">Expert and Opinion Evidence</a>	

## **Hearsay: What Is It?**

Overview .....	147
Hearsay Definition [FRE 801(c)] .....	151

<b>CALI EXERCISE:</b> <a href="#">Hearsay From Square One: The Definition of Hearsay</a>	
--	--

Statements That Are <u>Not</u> Hearsay .....	152
❖ "Not Offered for Truth" .....	152
❖ Verbal Acts (Words That Have Independent Legal Significance) .....	153
❖ Statements Offered for Their Effect on the Listener .....	156
❖ Implied Assertions .....	158
❖ Non-Assertive Conduct .....	160

<b>CALI EXERCISE:</b> <a href="#">Is It Hearsay?</a>	
--	--

❖ Ledgers, Inscriptions, and the Like .....	162
❖ Statements Revealing Awareness; Knowledge Derived from a Particular Source .....	164
❖ Silence—Including Nondisclosure and Failure to Complain .....	165
❖ Prior, Out-of-Court Statements by the Witness on the Stand: Impeachment vs. Substantive Effect .....	166

<b>CALI EXERCISE:</b> <a href="#">The Concept of Hearsay</a>	
--	--

## **Hearsay Exemptions: “Statutory Non-Hearsay”**

Introduction [FRE 801(d)] .....	171
Category I: Prior Statements of a Testifying Witness .....	172
❖ Prior Inconsistent Statements [FRE 801(d)(1)(A)] .....	173
❖ Prior Consistent Statements [FRE 801(d)(1)(B)] .....	176
❖ Prior Identifications [FRE 801(d)(1)(C)] .....	180
Category II: Party-Opponent Statements: In General [FRE 801(d)(2)] .....	183
❖ Individual and Adopted Party-Opponent Statements [FRE 801(d)(2)(A)] .....	186
❖ Adopted Statements [FRE 801(d)(2)(B)] .....	188
❖ Statements by Authorized Spokespersons [FRE 801(d)(2)(C)] .....	189
❖ Statements by Agents or Employees [FRE 801(d)(2)(D)] .....	191
❖ Coconspirators’ Statements [FRE 801(d)(2)(E)] .....	193

### **CALI EXERCISE: [Hearsay Exemptions](#)**

## **Hearsay Exceptions**

Overview .....	201
Rule 803 Exceptions .....	202
❖ Spontaneous Declarations .....	203
○ Present Sense Impression [FRE 803(1)] .....	204
○ Excited Utterance [FRE 803(2)] .....	207
❖ The “State of Mind” Exception: [FRE 803(3)] .....	211
○ Forward-Looking Statements: The <i>Hillmon</i> Doctrine .....	214
○ Backward-Looking Statements: The <i>Shepard</i> Rule .....	219
○ Polls or Opinion Surveys .....	221
❖ Statements for Purposes of Medical Diagnosis or Treatment [FRE 803(4)].....	222
○ Statements in a Medical Record .....	223
○ Statements Made for Litigation Purposes .....	225
❖ Recorded Recollection (Past Recollection Recorded) [FRE 803(5)].....	226
❖ Business and Public Records .....	230
○ Business Records [FRE 803(6)] .....	231
■ Circumstances Indicating Untrustworthiness .....	233
■ Medical Diagnoses in Business Records .....	237
■ Laying a Foundation for Business Records .....	237
■ Absence of an Entry in Business Record .....	238

○	Public Records [FRE 803(8)] .....	239
■	Information from Outside Sources .....	243
■	“Factual Findings” .....	244
■	The Relationship Between Rules 803(8) and 803(6) .....	245
■	The Absence of a Public Record .....	249
❖	Miscellaneous Rule 803 Exceptions.....	249
○	Ancient Documents [FRE 803(16)] .....	249
○	Learned Treatises [FRE 803(18)] .....	250
○	Judgment of a Criminal Conviction [FRE 803(22)] .....	252
○	Less Prominent Rule 803 Exceptions .....	254

**CALI EXERCISE:** [Hearsay Exceptions: FRE 803](#)

Rule 804 Exceptions—Requiring Declarant’s “Unavailability” .....	256	
❖	Former Testimony [FRE 804(b)(1)] .....	257
○	The “Party” Requirement .....	259
○	Similarity of Motive and Grand Jury Testimony .....	262
❖	Dying Declarations [FRE 804(b)(2)] .....	263
❖	Statements Against Interest [FRE 804(b)(3)] .....	266
○	Difference Between Declarations Against Interest and Party-Opponent Statements ..	266
○	When Is a Statement Against the Declarant’s Interest?.....	267
○	Declarations Against Penal Interest .....	269
❖	Personal and Family History (Pedigree Exception) [FRE 804(b)(4)] .....	274
❖	Forfeiture of a Hearsay Objection [FRE 804(b)(6)] .....	274

**CALI EXERCISE:** [Hearsay Exceptions: FRE 804](#)

The Residual Exception [FRE 807] .....	276
--	-----

**CALI EXERCISE:** [The Catch-All Exception: FRE 807](#)

**CALI EXERCISE:** [Test Your Knowledge of All Hearsay Exceptions](#)

Multiple Layers of Hearsay (“Hearsay Within Hearsay”) [FRE 805] .....	283-284
---	---------

**CALI EXERCISE:** [Multiple Hearsay](#)

**Confronting Hearsay Problems**

Impeaching a Hearsay Declarant .....	334[22]-335[11]
Abusive Tactics .....	335[12]-336[8]
Confrontation Clause Issues .....	285-300